

Question Set F

For other stakeholders

Please note all of these points before answering:

- **If you have any questions please contact Andy Agathangelou, Chair of the APPG's Secretariat, at Email**
 - Only reply to this question set if it applies to you.
 - This Call for Evidence is being handled by the APPG's [Secretariat](#) and support staff, who will collate the evidence and provide it to [the APPG's members](#).
 - Respondents' information will only be shared as necessary to enable the successful completion of the exercise and it will not be shared beyond the scope of this Call for Evidence.
- **Please note that we ask respondents to only give evidence that they are free to provide. It is entirely the responsibility of the respondent to ensure they take into account any agreement(s) they may have entered into.**
 - Respondents may choose to skip answering any of the questions if they wish.
- **It is for each respondent to decide whether their name and/or their responses are put into the public domain.**

The process to follow

- **Select the question set that relates to you. You may respond to more than one question set if you have different experiences of contact with the FCA.**
- **Download it, as a Word document, and save it on your computer.**
- **Provide your answers after each question.**
- **Please leave blank any questions that don't apply to you or that you do not wish to answer.**
- **Save the completed document on your computer.**
 - Please email it to Andy Agathangelou, **by 5pm on Monday 15th November**, at Email

Other important points to note

- This exercise is about gathering evidence on what people think about the FCA; it is not about providing any assistance, guidance or advice on any case a respondent may have against the FCA, or any other entity.
- Respondents are asked to only provide answers to the questions given.
- Respondents are asked to not provide any supplementary evidence or documentation.

Question Set F

For other stakeholders

Your Details

Name:

Tom Winnifrith

(Please type here. Thank you.)

Company/Business (if applicable):

ShareProphets.com

Address including postcode:

REDACTED

Email address:

REDACTED

Mobile telephone number:

REDACTED

Permissions

- Do you give permission that your name is put into the public domain?
§ Please enter **...YES..**
- Do you give permission that your response is put into the public domain?
§ Please enter **...YES.....** (With Email address, phone number and postal address redacted).

Questions

1. Please tell us about yourself and outline, just briefly to begin with, how you came to interact with the Financial Conduct Authority?

(Please type your answer here. Thank you.)

I started with the FSA. Same people. Still useless. I am a journalist investigating fraud. I alert the FCA to frauds where it is meant to be the regulator

2. What is your interest in, or connection with, the FCA?

See above

3. Briefly describe the interactions you have personally had with the FCA.

Numerous tip offs. Vary rarely it acts. In most cases even where the case of fraud is clear cut it does nothing

4. In broad terms, what have your dealings with the FCA been like?

Disappointing

5. Have you ever witnessed any actions or conduct that, in your reasonable belief, breached any law, regulatory code or applicable or relevant policy? If so, please explain which law, code or policy you believe was breached.

Other than a manifest failing to do its job, none

6. Have you experienced situations where interacting with the FCA has been helpful to either yourself or others? If so, please explain what made the interaction(s) helpful.

No.

7. What are your thoughts on whether the FCA lacks the powers that it needs; or conversely, that it doesn't make good use of the powers it already has?

It fails to use powers it already has

8. Have you experienced any difficulties or shortcomings in your interactions with the FCA?

Where do I start?

9. Have you experienced the FCA being reluctant to give clear answers to questions?

I understand it is not able to do so in this case

10. What is your perception of the culture of the FCA, and what do you think of it?

Useless

11. Have you ever complained officially about the FCA; if so to whom? What happened, and how do you feel about what happened? What feedback, if any, have you had about your complaint? How helpful was the feedback? How long has it taken for your complaint to be processed?

Once to a Tory MP, my step uncle Sir George Young. He told me that the FCA knew what it was doing. So folks got away with a £3bn fraud. Quindell

12. What do you think about the possibility of conflict of interest issues at the FCA?

Not apparent to me

13. Do you believe there should be spot checks by the FCA on regulated and/or unregulated entities, perhaps similar to the spot-checks by VAT inspectors

Given its inability to deal with slam dunk fraud what would be the point?

14. What positives are there about the FCA that you would like to comment on?

Pass.

15. If you could change three things about the FCA, what would they be?

The entire senior management team, its obsession with wokery and its failure to engage with short sellers and professional fraud busters

16. The FCA is undertaking a Transformation Project. Do you have any comments to make about that?

Have you seen the Downfall videos?

17. Are there any other comments that you would like to make?

I can supply chapter and verse in the video of failings on Woodford, mini bonds, and numerous listed frauds and boiler rooms

~~ END ~~

Thank you